# An Expedient Phosphine-Catalyzed [4 + 2] Annulation: Synthesis of Highly Functionalized Tetrahydropyridines 

Xue-Feng Zhu, Jie Lan, and Ohyun Kwon*<br>Department of Chemistry and Biochemistry, University of California, Los Angeles, California 90095-1569

Received January 29, 2003; E-mail: ohyun@chem.ucla.edu

Functionalized tetrahydropyridines and piperidines are common substructures found in biologically active natural products and synthetic pharmaceuticals. ${ }^{1}$ One of the most versatile methods to prepare six-membered heterocycles is the $[4+2]$ cycloaddition reaction. Among the $[4+2]$ cycloadditions, examples of 1,4 -dipolar cycloadditions providing six-membered heterocycles are scarce. ${ }^{2}$ For the most part, this is due to the fact that Diels-Alder reactions rather than 1,4-dipolar cycloadditions have provided the means to construct heterocyclic six-membered rings. ${ }^{3}$ The reactivity and regioselectivity of Diels-Alder reactions, however, are predetermined by the molecular orbital coefficients of dienes and dienophiles and limit the scope of accessible heterocycles. For example, while Diels-Alder reaction of 2-methylene-but-3-enoates ${ }^{4}$ with imines could potentially provide tetrahydropyridine derivatives, this diene only dimerizes in the presence of $N$-tosylbenzaldimine. ${ }^{5}$ As part of a program focused on the development of organic phosphinecatalyzed annulation reactions ${ }^{6}$ to form heterocycles, and inspired by recent accounts of phosphine-catalyzed reactions of 2,3butadienoates or 2-butynoates, we herein report the discovery of a 2-methylene-but-3-enoate synthon and its phosphine-catalyzed [4 + 2] annulation with aldimines to provide highly substituted tetrahydropyridine derivatives.

The formation of pyrroline derivatives upon mixing imines with 2,3-butadienoates in the presence of a phosphine catalyst is triggered by $\alpha$-addition of the zwitterionic intermediate to the imine. ${ }^{7}$ We envisioned that substitution of the hydrogen at the 2-position of 2,3-butadienoates with a methyl group might block the $\alpha$-attack of the zwitterionic intermediate and lead to an unprecedented reaction mode initiated by $\gamma$-addition of the zwitterionic intermediate to imines (see discussion on mechanism below). To our delight, mixing 2-methyl-2,3-butadienoate $\mathbf{1}$ with $N$-tosylbenzaldimine in the presence of a catalytic amount of $\mathrm{PBu}_{3}$ resulted in the formation of tetrahydropyridine 3a (eq 1). In the present reaction, ethyl 2-methyl-2,3-butadienoate acts as a 1,4-dipole synthon $\mathbf{A}$.


Using conditions optimized for the formation of $\mathbf{3 a}$, we prepared various tetrahydropyridine derivatives (Table 1). Several characteristics of this annulation reaction are noteworthy. Tetrahydropyridines (Table 1, $\mathbf{3 a}-\mathbf{3 k}$ ) were obtained in over $90 \%$ yield when $\mathbf{1}$ was allowed to react with various aryl $N$-tosylimines ${ }^{8} \mathbf{2}$ in the presence of $20 \mathrm{~mol} \% \mathrm{PBu}_{3}$, with the exception of an aryl group bearing a nitro-substituent (Table 1, 31). Interestingly, salicyl and 2-pyrrolyl $N$-tosylmines provided none of the desired product (Table $1, \mathbf{3 m}$ and $\mathbf{3 o}$ ) while their $O$-TBS and $N$-Boc protected counterparts underwent the annulation uneventfully (Table 1, 3n and 3p). The presence of acidic protons is believed to be detrimental to the reaction. For alkyl and vinyl $N$-tosylimines, none or trace amounts

Table 1. Synthesis of Tetrahydropyridines 3 from Ethyl 2-Methyl-2,3-butadienoate and N -Tosylaldimines ${ }^{a}$

| entry | $E t+{\underset{2}{ }}_{N_{2}-T s}$ | $\xrightarrow[\mathrm{CH}_{2} \mathrm{Cl}_{2}, \mathrm{RT}]{\mathrm{PBu}_{3}(20 \mathrm{~mol} \%)}$ |  |
| :---: | :---: | :---: | :---: |
|  | R | product | yield (\%) ${ }^{\text {b }}$ |
| 1 | Ph (2a) | $3 \mathbf{3}^{c}$ | 98 |
| 2 | $4-\mathrm{OMeC}_{6} \mathrm{H}_{4}(\mathbf{2 b})$ | 3b | 99 |
| 3 | 4-MeC6 $\mathrm{H}_{4}$ (2c) | 3c | 95 |
| 4 | $3-\mathrm{ClC}_{6} \mathrm{H}_{4}$ (2d) | 3d | 96 |
| 5 | 2-ClC6 $\mathrm{H}_{4}$ (2e) | 3 e | 93 |
| 6 | $4-\mathrm{FC}_{6} \mathrm{H}_{4}(\mathbf{2 f})$ | 3 f | 95 |
| 7 | $4-\mathrm{CNC}_{6} \mathrm{H}_{4}(2 \mathrm{~g})$ | 3g | 98 |
| 8 | $2-\mathrm{CF}_{3} \mathrm{C}_{6} \mathrm{H}_{4}$ (2h) | 3h | 98 |
| 9 | 1-naphthyl (2i) | 3 i | 96 |
| 10 | 2-furyl (2j) | 3j | 97 |
| 11 | 4-pyridyl (2k) | 3k | $92^{d}$ |
| 12 | $4-\mathrm{NO}_{2} \mathrm{C}_{6} \mathrm{H}_{4}$ (2I) | 31 | 86 |
| 13 | $2-\mathrm{OHC}_{6} \mathrm{H}_{4}(2 \mathrm{~m})$ | 3 m | 0 |
| 14 | $2-\mathrm{OTBSC} 6 \mathrm{H}_{4}$ (2n) | 3n | 93 |
| 15 | 2-pyrrolyl (2o) | 30 | 0 |
| 16 | $N$-Boc-2-pyrroyl (2p) | 3p | 99 |
| 17 | trans-styrenyl (2q) | 3q | trace ${ }^{e}$ |
| 18 | $t$-butyl (2r) | 3 r | $86^{f}$ |
| 19 | $n$-propyl (2s) | 3s | $0^{8}$ |

${ }^{a}$ See Supporting Information for a detailed experimental procedure ${ }^{b}$ Isolated yields. ${ }^{c}$ The structure was confirmed by X-ray crystallographic analysis. ${ }^{d} 30 \mathrm{~mol} \% \mathrm{PBu}_{3}$ was used. ${ }^{e}$ The product was inseparable from the starting imine. ${ }^{f} 3$ equiv of $\mathrm{Na}_{2} \mathrm{CO}_{3}$ was added. ${ }^{g}$ The imine was decomposed to aldehyde and $p$-toulenesulfonamide.

Scheme 1. Mechanistic Rationale for the Formation of 3

of cycloadducts were isolated. Only N -tosylpivalaldimine afforded the desired product (Table 1, 3r) in $86 \%$ yield in the presence of $\mathrm{Na}_{2} \mathrm{CO}_{3}$.

The mechanism of this unprecedented cyclization reaction has not been unequivocally established, but one reasonable possibility is outlined in Scheme 1. Tri- $n$-butylphosphine acts as a nucleophilic trigger and forms intermediate $\mathbf{4}$, which exists as a resonancestabilized zwitterionic intermediate $\mathbf{4} \leftrightarrow \mathbf{5}$. Allylic carbanion $\mathbf{5}$ adds

Table 2. Synthesis of Tetrahydropyridines 13 from Ethyl 2-Benzyl-2,3-butadienoates and N -Tosylaldimines ${ }^{a}$

| R |  | $\xrightarrow[\mathrm{CH}_{2} \mathrm{Cl}_{2}, \mathrm{RT}]{\mathrm{PBu}_{3}(20 \mathrm{~mol} \%)}$ |  |  | 13 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | R | R' | product | yield (\%) ${ }^{\text {b }}$ | $\mathrm{dr}^{\text {c }}$ |
| 1 | $\mathrm{Ph}(\mathbf{2 a})$ | $4-\mathrm{CNC}_{6} \mathrm{H}_{4}(\mathbf{1 2 a})$ | 13a | 99 | 98:2 |
| 2 | $\mathrm{Ph}(2 \mathrm{a})$ | $2-\mathrm{FC}_{6} \mathrm{H}_{4}(\mathbf{1 2 b})$ | 13b | 99 | 97:3 |
| 3 | $\mathrm{Ph}(\mathbf{2 a})$ | $3-\mathrm{OMeC}_{6} \mathrm{H}_{4}(\mathbf{1 2 c})$ | 13c | 99 | 98:2 |
| 4 | $\mathrm{Ph}(\mathbf{2 a})$ | $2-\mathrm{MeC}_{6} \mathrm{H}_{4}(\mathbf{1 2 d})$ | 13d | 82 | 88:12 |
| 5 | $\mathrm{Ph}(\mathbf{2 a})$ | $\mathrm{Ph}(12 \mathrm{e})$ | $13 \mathrm{e}^{d}$ | 99 | 98:2 |
| 6 | $4-\mathrm{OMeC}_{6} \mathrm{H}_{4}(\mathbf{2 b})$ | Ph (12e) | 13 f | 99 | 97:3 |
| 7 | $4-\mathrm{NO}_{2} \mathrm{C}_{6} \mathrm{H}_{4}(\mathbf{2 l})$ | $\mathrm{Ph}(12 \mathrm{e})$ | 13g | 90 | 95:5 |
| 8 | $3-\mathrm{ClC}_{6} \mathrm{H}_{4}$ (2d) | $4-\mathrm{CNC}_{6} \mathrm{H}_{4}(12 \mathrm{a})$ | 13h | 99 | 98:2 |
| 9 | $2-\mathrm{CF}_{3} \mathrm{C}_{6} \mathrm{H}_{4}(\mathbf{2 h})$ | $4-\mathrm{CNC}_{6} \mathrm{H}_{4}(12 \mathrm{a})$ | 13i | 80 | 90:10 |
| 10 | $2-\mathrm{ClC}_{6} \mathrm{H}_{4}(2 \mathrm{e})$ | $3-\mathrm{OMeC}_{6} \mathrm{H}_{4}(\mathbf{1 2 c})$ | 13j | 96 | 83:17 |
| 11 | $4-\mathrm{MeC}_{6} \mathrm{H}_{4}(\mathbf{2 c})$ | $3-\mathrm{OMeC}_{6} \mathrm{H}_{4}(\mathbf{1 2 c})$ | 13k | 99 | 98:2 |

${ }^{a}$ See Supporting Information for a detailed experimental procedure.
${ }^{b}$ Isolated yields. ${ }^{c}$ Diastereomer ratio determined by ${ }^{1} \mathrm{H}$ NMR ( 500 MHz ).
${ }^{d}$ The structure was confirmed by X-ray crystallographic analysis.
to imine 2 to produce intermediate 6. ${ }^{9}$ Two consecutive protontransfer steps shuffle the proton on the $\beta^{\prime}$-carbon to the $\beta$-carbon of intermediate 6. Thus formed intermediate 9 undergoes 6-endo cyclization followed by expulsion of $\mathrm{PBu}_{3}$ to generate tetrahydropyridine 3.

The proton-transfer process is believed to be the rate-determining step on the basis of the observation that 2-methyl- $d_{3}$-2,3-butadienoate $\mathbf{1 0}$ undergoes the annulation reaction with $N$-tosylbenzaldimine (2a) much more sluggishly than $\mathbf{1}$ and provides $\mathbf{1 1}(\mathrm{R}=\mathrm{Ph})$ only in $31 \%$ yield. This observation prompted us to test the reaction of 2-(4-cyanobenzyl)-2,3-butadienoate 12a with $N$-tosylbenzaldimine (2a) (Table 2). Our speculation was that the first protontransfer process $(6 \rightarrow 7)$ is energetically less favorable than the second one $(\mathbf{8} \rightarrow 9)$ and increased acidity of the proton on the $\beta^{\prime}$ carbon would accelerate the overall reaction. Indeed, 13a was obtained in $99 \%$ yield in 30 min with high diastreoselectivity (dr, 98:2) favoring the formation of 2,6-cis-adduct. Syntheses of tetrahydropyridines $\mathbf{1 3}$ employing 2-benzyl-2,3-butadienoates ${ }^{10} \mathbf{1 2}$ are summarized in Table 2.

The $[4+2]$ annulation reactions of 2-benzyl-2,3-butadienoates 12 with $N$-tosylbenzaldimine (2a) in the presence of $\mathrm{PBu}_{3}$ incorporated various aryl groups into the 2-position of tetrahydropyridines (Table $2, \mathbf{1 3 a}-\mathbf{1 3 e}$ ) in almost quantitative yields with high diastereoselectivities. An exception was noted for ethyl 2-(2-methylbenzyl)-2,3-butadienoate (Table 2, 12d). Upon investigation of the reactions on further substrate combinations (Table 2, entries $6-11$ ), a clear trend could be discerned, with ortho-substituted aryl groups providing poorer results. Thus, the presence of an orthosubstituent of significant steric bulk (Table 2, entries 4, 9, and 10) on the aryl rings diminishes the reaction yield as well as compromising the reaction diastereoselectivity. On the contrary, the presence of an ortho-fluoro group afforded the desired 2,6-cistetrahydropyridine 13b in nearly quantitative yield (99\%) with high diastereoselectivity (dr, 97:3). It was again apparent that the reaction yield diminished when a nitro-substituted aryl $N$-tosylimine (21) was employed (Table 2, entry 7).

In conclusion, our effort to expand the scope of phosphinecatalyzed annulations has led us to the discovery of a new class of 1,4-dipole synthons, 2-alkyl-2,3-butadienoates. The importance of this finding was exemplified by their expedient $[4+2]$ annulation
reaction with $N$-tosylaldimines to form tetrahydropyridine derivatives in excellent yields with complete regioselectivity and high diastereoselectivities. Future effort will focus on expanding the versatility of these new 1,4-dipole synthons as well as on performing the annulation in an enantioselective manner.

Acknowledgment. We are grateful to UCLA for startup research funding and to the NSF under Equipment Number CHE-9974928 and CHE-0092036. We thank Dr. Saeed Khan for performing X-ray crystallographic analysis. O.K. thanks Professors Christopher S. Foote, Miguel A. Garcia-Garibay, Kendall N. Houk, Mike E. Jung, Mark Mascal (also for editorial assistance), Craig A. Merlic, and Fred Wudl for helpful discussions.

Supporting Information Available: Representative experimental procedures and spectral data for all new compounds (PDF). Crystallographic data for compounds $\mathbf{3 a}$ and $\mathbf{1 3 e}$ (CIF). This material is available free of charge via the Internet at http://pubs.acs.org.

## References

(1) (a) Michael J. P. In The Alkaloids; Cordell, G. A., Ed.; Academic Press: San Diego, 2001; Vol. 55. (b) Dewick, P. M. Medicinal Natural Products; John Wiley and Sons: Chichester, 1997; Chapter 6. (c) Pinder, A. R. Nat. Prod. Rep. 1992, 9, 491-504 and earlier reviews in this series. (d) Rubiralta, M.; Giralt, E.; Diez, A. Piperidine. Structure, Preparation, Reactivity and Synthetic Applications of Piperidine and Its Derivatives; Elsvier: Amsterdam, 1991.
(2) To our knowledge there are 13 examples of 1,4-dipoles/1,4-dipole equivalents that undergo the (formal) $[4+2]$ cycloadditions. For selected references, see: (a) Huisgen, R. In Topics in Heterocyclic Chemistry; Castle, R., Ed.; John Wiley \& Sons: New York, 1969; Chapter 8. (b) Shair, M. D.; Yoon, T. Y.; Mosny, K. K.; Chou, T. C.; Danishefsky, S. J. J. Am. Chem. Soc. 1996, 118, 9509-9525. (c) Padwa, A.; Harring, S. R.; Semones, M. A. J. Org. Chem. 1998, 63, 44-54 and references therein. (d) Rigby, J. H. Synlett 2000, 1-12 and references therein.
(3) The Chemical Abstracts Service database shows 153 books and reviews on hetero Diels-Alder reaction. For a classical review, see: Boger, D. L.; Weinreb, S. M. Hetero Diels-Alder Methodology in Organic Synthesis; Academic Press: San Diego, 1987; Chapters 2 and 9.
(4) (a) Sydnes, L. K.; Skattebøl, L. Helv. Chim. Acta 1975, 58, 2061-2073. (b) McIntosh, J. M.; Sieler, R. A. J. Org. Chem. 1978, 43, 4431-4433. (c) Poly, W.; Schomburg, D.; Hoffmann, H. M. R. J. Org. Chem. 1988, 53, 3701-3710. (d) Alanine, A. I. D.; Fishwick, C. W. G.; Jones, A. D.; Mitchell, M. B. Tetrahedron Lett. 1989, 30, 5653-5654. (e) Jung, M.; Zimmerman, C. N. J. Am. Chem. Soc. 1991, 113, 7813-7814. (f) Spino, C.; Crawford, J.; Cui, Y.; Gugelchuk, M. J. Chem. Soc., Perkin Trans. 2 1998, 1499-1506. (g) Spino, C.; Pesant, M.; Dory, Y. Angew. Chem., Int. Ed. 1998, 37, 3262-3265.
(5) Unpublished results from our laboratory.
(6) For a review, see: (a) Lu, X.; Zhang, C.; Xu, Z. Acc. Chem. Res. 2001, 34, 535-544. For selected recent reports on phosphine-catalyzed reactions, see: (b) Kuroda, H.; Tomita, I.; Endo, T. Org. Lett. 2003, 5, 129-131. (c) Lu, C.; Lu, X. Org. Lett. 2002, 4, 4677-4679. (d) Du, Y.; Lu, X.; Yu, Y. J. Org. Chem. 2002, 67, 8901-8905. (e) Lu, B.; Davis, R.; Joshi, B.; Reynolds, D. W. J. Org. Chem. 2002, 67, 4595-4598. (f) Frank, S. A.; Mergott, D. J.; Roush, W. R. J. Am. Chem. Soc. 2002, 124, 24042405. (g) Wang, L.-C.; Luis, A. L.; Agapiou, K.; Krische, M. J. J. Am. Chem. Soc. 2002, 124, 2402-2403. (h) Trost, B. M.; Dake, G. R. J. Am. Chem. Soc. 1997, 119, 7595-7596. (i) Zhu, G.; Chen, Z.; Jiang, Q.; Xiao, D.; Cao, P.; Zhang, X. J. Am. Chem. Soc. 1997, 119, 3836-3837.
(7) Xu, Z.; Lu, X. Tetrahedron Lett. 1997, 38, 3461-3464.
(8) For preparative methods for $N$-tosylimines, see: (a) McKay, W. R.; Proctor, G. R. J. Chem. Soc., Perkin Trans. 1 1981, 2435-2442. (b) Love, B. E.; Raje, P. S.; Williams, T. C., II. Synlett 1994, 493-493. (c) Bilodeau, M. T.; Cunningham, A. M. J. Org. Chem. 1998, 63, 2800-2801. (d) Chemla, F.; Hebbe, V.; Normant, J.-F. Synthesis 2000, 75-77.
(9) Preliminary experiments employing commercially available chiral phosphine ( $S, S$ )-DIPAMP to form 13e have indicated preferential formation of one enantiomer in moderate enantiomeric excess ( $34 \%$ ee), indicating involvement of the phosphine in a crucial carbon-carbon bond-forming step.
(10) Ethyl 2-benzyl-2,3-butadienoates $\mathbf{1 2}$ are prepared via a modified literature procedure. ${ }^{11}$ See Supporting Information for a detailed experimental procedure.
(11) (a) Lang, R. W.; Hansen, H.-J. Organic Syntheses; Wiley \& Sons: New York, 1990; Collect. Vol. 7, pp 232-235. (b) Scholz, D.; Weber-Roth, S.; Macoratti, E.; Francotte, E. Synth. Commun. 1999, 29, 1143-1155. JA0344009

